

1725



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLN. OF: TANABE et al
SERIAL NO.: 09/612,551
FILED: July 7, 2000
FOR: SEMICONDUCTOR THIN FILM FORMING SYSTEM
GROUP: 1725
EXAMINER: EVANS, G.
DOCKET: NEC WNZ-2212

11

RECEIVED
JUL 8 2002
TC 1700

Assistant Commissioner for Patents
Washington, D.C. 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In connection with the above-identified application, Applicants hereby attach U.S. Patent Office Form PTOL-1449, including copies of the prior art references listed therein, together with the English language abstracts. These references were cited in a Korean Office Action dated March 27, 2002 of the underlying Korean patent application. Enclosed is the Japanese language Korean Office Action, together with an English translation of the relevant portions thereof. The claims in the present application are believed to be patentably distinguished over these references.

This information disclosure statement is being made pursuant to the duty of disclosure imposed by law and formulated in 37 CFR 1.56(a). No representation is made that the information thus disclosed in fact constitutes prior art or that it is the closest prior art, inasmuch as 37 CFR 1.56 (a) relies on a materiality concept which depends on subjectivity.

HAYES SOLOWAY P.C.
175 Canal Street
Manchester, NH 03101

TEL: 603-668-1400
FAX: 603-668-8567

In compliance with the requirements of 37 C.F.R. §1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 35 U.S.C. §1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of portions of an official action by a foreign examiner in which the references were cited. The relevance to the pending U.S. patent application is that these references were cited in a foreign patent application on the same subject matter. However, no independent analysis of the references, the accuracy of the statement of the foreign examiner, or the claims of the foreign application under the laws of the country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted herewith.

The enclosed Information Disclosure Statement is being submitted within three months of the Korean Office Action, as certified in the attached Certification for Information Disclosure Statement.

Therefore, we believe that there are no fees involved with this information disclosure statement. However, in the event there are any fees payable, please charge them to our Deposit Account No. 08-1391.

Respectfully submitted,



Norman P. Soloway
Attorney for Applicant
Reg. No. 24,315

HAYES SOLOWAY P.C.
175 Canal Street
Manchester, NH 03101

TEL: 603-668-1400
FAX: 603-668-8567

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on June 27, 2002 at Manchester, New Hampshire.

By: Carol A. Bogue

HAYES SOLOWAY P.C.
175 Canal Street
Manchester, NH 03101

TEL: 603-668-1400
FAX: 603-668-8567

STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT

Docket No.
NEC WNZ-2212

In Re Application Of:
TANABE et al

Serial No.
09/612,551

Filing Date
July 7, 2000

Examiner
G. Evans

Group Art Unit
1725

Invention:

SEMICONDCUTOR THIN FILM FORMING SYSTEM

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

RECEIVED
JUL 8 2002
TC 1700

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

Norman P. Soloway

Signature

Dated: June 27, 2002

Norman P. Soloway
Reg. No. 24,315
Hayes Soloway
175 Canal Street
Manchester, NH 03101

I certify that this document is being deposited on
6/27/02 with the U.S. Postal Service as first
class mail under 37 C.F.R. 1.8 and is addressed to the
Assistant Commissioner for Patents, Washington, D.C.
20231.

Carol A. Bogner

Signature of Person Mailing Correspondence

CAROL A. BOGNER

Typed or Printed Name of Person Mailing Correspondence

CC: